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09/21/01  
Jc944 U.S. PTO

ATTORNEY'S DOCKET NO. BAI525365/01362

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner of Patents  
Washington, DC 20231

J1050 U.S. PTO  
09/960135  
09/21/01

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

**Inventor(s): Mark Newton**

**For (title): BROADCAST DATA RECEIVER AND METHOD OF USE THEREOF**

1. Type of Application

This new application is for a(n)

X Original (nonprovisional)

\_\_\_ Design

\_\_\_ Plant

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. Section 1.53(b) (Regular) or 37 C.F.R. Section 1.153 (Design) Application

11 Pages of specification

5 Pages of claims

0 Sheets of drawing

\_\_\_ The enclosed drawing(s) are photograph(s) and there is also attached a PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S) 37 C.F.R.

1.84(b)

\_\_\_ informal

\_\_\_ formal

B. Other Papers Enclosed

1 Pages of declaration and power of attorney

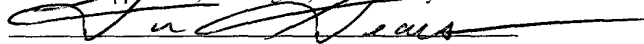
1 Page of abstract

\_\_\_ Other

EXPRESS MAIL: Mailing Label No. EL779652212US

Date of Deposit: 21 September 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Director for Patents, Box: New Application, Washington, DC 20231 by:



09960135, 092101

3. **Additional Papers Enclosed**

- ☐ Amendments to claims
- ☐ Cancel in this application claims \_\_\_\_\_ before calculating the filing fee. (At least one original independent claims must be retained for filing purposes).
- ☐ Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
- X Preliminary Amendment
- ☐ Information Disclosure Statement (37 C.F.R. Section 1.98)
- ☐ Form PTO-1449 (PTO/SB/08A and 09B)
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing" computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

4. **Declaration of oath (including power of attorney)**

- X Enclosed
- Executed by
- ☐ inventor(s)
- ☐ legal representative of inventor(s) 37 CFR Sections 1.42 and 1.43
- ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
- ☐ This is a petition required by 37 CFR Section 1.47 and the statement required by 37 CFR Section 1.47 is also attached. See item 13 below for fee.
- ☐ Not Enclosed

5. **Inventorship Statement**

The inventorship for all of the claims in this application are:

XX The Same

Or

- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
- ☐ is submitted
- ☐ will be submitted

6. **Language**

XX English

☐ Non-English

- ☐ The attached translation includes a statement that the translation is accurate. 37 CFR 1.52(d)

7. **Assignment**

- X An assignment of the invention to:  
PACE MICRO TECHNOLOGY PLC.  
X is attached  
— A Separate COVER SHEET is attached  
— will follow

8. **Certified Copy**

Certified copy(ies) of the application(s)

Country	Application No.	Filed
GB	0023267.8	22 September 2000
GB	0102041.1	26 January 2001

from which priority is claimed

- X will follow  
— is(are) attached.

9. **Fee Calculation**

A. Regular Application

Number Filed	Number of Extra	Rate	Basic Fee \$710
Total	25	\$18	800
Independent	2	\$80	
Multiple Dependent		\$270	

- Amendment cancelling extra claims is enclosed  
— Amendment deleting multiple-dependencies is enclosed  
— Fee for extra claims is not being paid at this time.

FILE FEE CALCULATION

\$800

B. Design Application

FILE FEE CALCULATION

C. Plant Application

FILE FEE CALCULATION

10. **Small Entity Statement**

- Applicant herein states that it is entitled to small entity status as required under  
37 CFR 1.27

11. **Request for International-Type Search**

\_\_\_\_ Please prepare an international-type search report for this application at the time when national examination on the merits on takes place

12. **Fee Payment Being Made at This Time**

\_\_\_\_ Not Enclosed

XX Enclosed

XX Filing fee \$ 800

XX Assignment \$ 40

\_\_\_\_ Petition fee for filing other than all of the inventors \$ \_\_\_\_\_

\_\_\_\_ For processing an application with a specification in a non-English language \$ \_\_\_\_\_

\_\_\_\_ Processing and retention fee \$ \_\_\_\_\_

\_\_\_\_ Fee for international-type search report \$ \_\_\_\_\_

**TOTAL \$ 840**

13. **Method of Payment of Fees**

XX Check in the amount of **\$840**

XX Charge Account No. 08-1500 in the amount of

14. **Authorization to Charge Additional Fees**

XX The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 08-1500

XX filing fees 37 CFR Section 1.16(a), (f) or (g)

XX presentation of extra claims 37 CFR Section 1.16(b), (c) and (d)

XX surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application 37 CFR Section 1.16(e)

XX extension fees pursuant to Section 1.135(a) 37 CFR Section 1.17(a) (1-5)

XX application processing fees 37 CFR 1.17

15. **Instructions as to Overpayment**

XX Credit Account No. 08-1500

HEAD, JOHNSON & KACHIGIAN

Dated: 21 September 2001

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**Relate Back – 35 USC Section 119 Priority Claim for Prior Application**

The prior British application, including any prior international Application designating the US, identified above in item 17B in turn itself claim(s) foreign priority(ies) as follows:

Country	GB	Appln. No.	0023267.8	Filed:	22 September 2000
	GB		0102041.1		26 January 2001

The certified copy(ies) has(have) been

☐ filed on \_\_\_\_\_, in prior application No. \_\_\_\_\_  
☐ is attached hereto  
☒ will follow

05950135-092101  
TOTAL 55709550